

JUSTIFICATION OF ESTIMATES
LIMITATION ON ADMINISTRATION

Proposed Appropriation Language

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [\$91,000,000] \$92,500,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Explanation of Proposed Appropriation Language

<u>Appropriation language</u>	<u>Explanation</u>
For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [\$91,000,000] \$92,500,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.	This budget request is for funds to administer the railroad retirement/survivor and unemployment/sickness insurance benefit programs under a single administrative account. The Limitation on Administration Account draws on the following trust fund accounts for funding: Railroad Retirement Account, Social Security Equivalent Benefit Account, Railroad Retirement Supplemental Account, and the Railroad Unemployment Insurance Administration Fund.

Authorizing Legislation

<u>Legislation</u>	<u>2000</u>		<u>2001</u>	
	<u>Authorized</u>	<u>Appropriation</u>	<u>Authorized</u>	<u>Appropriation request</u>
Authorizing legislation -- Railroad Retirement Act: Section 15(a) and Section 15(c), first two sentences of each section, and Section 15A(c)(1)	Indefinite	\$90,655,000 <u>a/</u>	Indefinite	\$92,500,000
Authorizing legislation -- Railroad Unemployment Insurance Act: Section 11(a)				

a/ Reflects the appropriation received under Public Law 106-113, less a rescission of 0.38 percent.

Section 15(a) of the Railroad Retirement Act:

"The Railroad Retirement Account established by section 15(a) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such Account for each fiscal year, beginning with the fiscal year ending June 30, 1975, to provide for the payment of benefits to be made from such Account in accordance with the provisions of section 7(c)(1) of this Act, and to provide for expenses necessary for the Board in the administration of all provisions of this Act, an amount equal to amounts covered into the Treasury (minus refunds) during each fiscal year under the Railroad Retirement Tax Act, except those portions of the amounts covered into the Treasury under sections 3211(b), 3221(c), and 3221(d) of such Tax Act as are necessary to provide sufficient funds to meet the obligation to pay supplemental annuities at the level provided under section 3(e) of this Act and, with respect to those entitled to supplemental annuities under section 205(a) of title II of this Act, at the level provided under section 205(a)."

Section 15(c) of the Railroad Retirement Act:

"The Railroad Retirement Supplemental Account established by section 15(b) of the Railroad Retirement Act of 1937 shall continue to be maintained in the Treasury of the United States. There is hereby appropriated to such account for each fiscal year, beginning with the fiscal year ending June 30, 1975, out of any moneys in the Treasury not otherwise appropriated, to provide for the payment of supplemental annuities under section 2(b) of this Act, and to provide for the expenses necessary for the Board in the administration of the payment of such supplemental annuities, an amount equal to such portions of the amounts covered into the Treasury (minus refunds) during each fiscal year under section 3211(b), 3221(c), and 3221(d) of the Railroad Retirement Tax Act as are not appropriated to the Railroad Retirement Account pursuant to the provisions of subsection (a) of this section."

Section 15 A(c)(1) of the Railroad Retirement Act:

"Except as otherwise provided in this section, amounts in the Social Security Equivalent Benefit Account shall be available only for purposes of paying social security equivalent benefits under this Act and to provide for the administrative expenses of the Board allocable to social security equivalent benefits."

Section 11(a) of the Railroad Unemployment Insurance Act:

"The Secretary of the Treasury shall maintain in the unemployment trust fund established pursuant to section 904 of the Social Security Act an account to be known as the railroad unemployment insurance administration fund. This unemployment insurance administration fund shall consist of (i) such part of all contributions collected pursuant to section 8 of this Act as equals 0.65 per centum of the total compensation on which such contributions are based; (ii) all amounts advanced to the fund by the Secretary of the Treasury pursuant to this section; (iii) all amounts appropriated by subsection (b) of this section; and (iv) such additional amounts as Congress may appropriate for expenses necessary or incidental to administering this Act. Such additional amounts are hereby authorized to be appropriated."

ADMINISTRATIVE EXPENSES a/

Budget Authority By Object Classification

<u>Limitation on Administration</u>	Fiscal year 1999	Fiscal year 2000	Fiscal year 2001	Increase or
<u>Direct obligations by object class</u>	<u>actual amounts(\$)</u> b/c/	<u>estimated amounts(\$)</u> c/d/	<u>estimated amounts(\$)</u> c/	<u>decrease(\$)</u>
Personnel compensation:				
Full-time permanent	56,592,000	57,820,000	60,024,000	2,204,000
Positions other than permanent	1,323,000	1,320,000	1,320,000	0
Other personnel compensation	<u>1,217,000</u>	<u>883,000</u>	<u>821,000</u>	<u>(62,000)</u>
Total personnel compensation	59,132,000	60,023,000	62,165,000 e/	2,142,000
Personnel benefits: civilian	11,691,000	12,343,000	13,043,000	700,000
Benefits for former personnel	267,000	1,940,000 f/	300,000	(1,640,000)
Travel and transportation of persons	860,000	500,000	650,000	150,000
Transportation of things	174,000	138,000	128,000	(10,000)
Rental payments to GSA g/	2,805,000	2,890,000	3,193,000	303,000
Communications, utilities, and miscellaneous charges	3,593,000	3,558,000	3,436,000	(122,000)
Printing and reproduction	272,000	272,000	300,000	28,000
Consulting services	463,000	490,000	513,000	23,000
Other services	7,992,000	6,875,000	7,360,000 h/	485,000
Supplies and materials	841,000	741,000	800,000	59,000
Equipment	<u>1,687,000</u>	<u>885,000</u>	<u>612,000</u>	<u>(273,000)</u>
Total, direct obligations	89,777,000	90,655,000	92,500,000	1,845,000
Unobligated balance	<u>158,400</u>	<u>---</u>	<u>---</u>	<u>---</u>
Total budget authority	<u>89,935,400</u>	<u>90,655,000</u>	<u>92,500,000</u> i/	<u>1,845,000</u>

a/ In addition to the amounts shown, the Office of Inspector General is requesting, in a separate justification document, \$5.7 million for administrative expenses in fiscal year 2001.

b/ Amounts reflect the \$90 million appropriation to the Limitation on Administration received under Public Law 105-277 in fiscal year 1999, less a rescission of \$64,600 required by P.L. 106-51. In addition, the RRB received \$398,000 (available until September 30, 2001) in emergency fiscal year 1999 funds to support the Year 2000 (Y2K) conversion of the RRB's information technology systems. The unobligated balance is available for expenses that were incurred in fiscal year 1999 but processed after the end of the year. As of January 24, 2000, the unobligated balance was \$110,128.

c/ The Limitation on Administration excludes \$4.5 million in fiscal year 1999 that the RRB expects to be reimbursed from the Health Care Financing Administration (to conduct Medicare activities) and others. Amounts for fiscal years 2000 and 2001 exclude \$4.3 million that the RRB expects to be reimbursed from the Health Care Financing Administration and others in each of these years.

d/ Amount reflects appropriations received under Public Law 106-113 (\$91,000,000, less a rescission of \$345,000) for fiscal year 2000.

e/ This amount includes a locality-based comparability and cost-of-living increase of 3.7% effective January 2001, in accordance with OMB guidance, and will enable us to fund 1.112 FTE's in fiscal year 2001.

f/ The fiscal year 2000 total includes estimated voluntary separation incentive payments of \$1,640,000 for more than 60 employees, and \$300,000 for normal unemployment insurance and workmen's compensation costs.

g/ Reflects the payment of actual costs to the General Services Administration (GSA) for the rental of space. The fiscal year 2001 amount assumes that the RRB, as a trust fund agency, will continue to pay actual costs to GSA for the rental of space.

h/ This amount includes an estimated \$2,000 for education and training of the acquisition workforce (OMB Circular A-11, Section 112.3).

i/ The Railroad Retirement Board expects to spend \$12,000 on energy conservation measures in fiscal year 2001 (OMB Circular A-11, Section 112.3).

Note: Amounts are rounded to the nearest thousand.

ADMINISTRATIVE EXPENSES

Budget Authority by Strategic Goal

Limitation on Administration

	Fiscal year 1999 actual				Fiscal year 2000 estimate				Fiscal year 2001 estimate			
<u>Program by strategic goal</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>	<u>FTE's</u>	<u>%</u>	<u>Amounts(\$)</u>	<u>%</u>
Strategic goal:												
1. Customer service	689	58%	47,574,000	53%	640	57%	47,431,000	52%	630	57%	49,767,000	54%
2. Stewardship	197	16%	14,549,000	16%	217	19%	16,028,000	18%	203	18%	16,263,000	18%
3. Alignment of resources	40	3%	3,692,000	4%	39	3%	3,165,000	3%	41	4%	3,793,000	4%
4. Technology and automation	<u>270</u>	23%	<u>23,962,000</u>	27%	<u>240</u>	21%	<u>24,031,000</u>	27%	238	21%	22,677,000	24%
Total, direct program obligations			89,777,000				90,655,000				92,500,000	
Unobligated balance			<u>158,400</u>				---				---	
Total budget authority	<u>1,196</u>		<u>89,935,400</u>		<u>1,136</u>		<u>90,655,000</u>		<u>1,112</u>		<u>92,500,000</u>	

Staffing History

Actual Full-time Equivalent Employment

<u>Fiscal year</u>	<u>Total FTE's a/</u>	<u>Change from previous year</u>	<u>Percent change from previous year</u>	<u>Cumulative FTE Reductions</u>	<u>Cumulative percent change since fiscal year 1993</u>
1993	1,698 <u>b/</u>	---	---	---	---
1994	1,615 <u>b/</u>	(83)	-4.9%	83	-4.9%
1995	1,483 <u>b/</u>	(132)	-8.2%	215	-12.7%
1996	1,401 <u>b/</u>	(82)	-5.5%	297	-17.5%
1997	1,297	(104)	-7.4%	401	-23.6%
1998	1,227	(70)	-5.4%	471	-27.7%
1999	1,196	(31)	-2.5%	502	-29.6%
2000	1,136 <u>c/d/</u>	(60)	-5.0%	562	-33.1%
2001	1,112 <u>c/</u>	(24)	-2.1%	586	-34.5%

a/ Excludes staffing for the Office of Inspector General.

b/ Includes Special Management Improvement Fund FTE's for fiscal years 1993-1996 of 80, 58, 30, and 10, respectively.

c/ Reflects estimated FTE's to be used. In fiscal year 2001, the RRB estimates that an administrative budget of \$92.5 million will allow us to fund 1,112 FTE's.

d/ More than 60 RRB employees are projected to accept voluntary separation incentive payments (buyouts) in fiscal year 2000.

Funding for Major Contracts

All contracts \$10,000 and over that are planned for fiscal year 2001 are shown below.

<u>Title and description</u>	<u>2001 estimated program funding (\$)</u> <u>Limitation on Administration</u>
<u>Data processing</u>	
Data entry Contracted data entry services.	15,000
Computer recovery service Fee for backup data processing operations in the event of an emergency or disaster.	36,500
Automated interface with Treasury Charges for using the automated interface with Treasury to transmit and receive financial and accounting information.	14,000 <u>a/</u>
<u>Support of benefit program activities</u>	
Payments to Treasury Fees in issuing checks and making electronic funds transfers on the RRB's behalf, as well as postage costs incurred for RRB mailings released by Treasury.	947,000 <u>a/</u>
Consultative medical services Medical evidence reviews concerning benefit and claim matters and preparation of advisory medical opinions.	424,250 <u>b/</u>
Medical fees Payments for medical services and hospital transcripts used to make disability determinations.	2,297,883

Funding for Major Contracts (continued)

<u>Title and description</u>	<u>2001 estimated program funding (\$)</u>
	<u>Limitation on Administration</u>
Transcription services Transcripts of hearings regarding appeal cases for use by the Board Members.	25,000
Program support services Costs for field office alterations, miscellaneous and administrative support services, and health unit maintenance agreements.	74,300 <u>a/</u>
Photocopiers Fee required to continue the agency's photocopier contract.	300,000
<u>Financial management</u>	
Financial/payroll/accounts receivable improvements Charges for making enhancements to existing automated financial systems.	15,000 <u>b/</u>
Investment consulting services Expert advice to be provided the agency concerning the investment of trust fund assets.	30,000 <u>b/</u>
Financial information service Provides information used in making investment decisions.	21,660
<u>Personnel development, evaluation, and assistance</u>	
Employee assistance program Professional assistance for employees with personal problems that adversely affect work performance.	32,000 <u>b/</u>
Use of training programs Fee for use of audiovisual and computer-based training materials.	15,000

Funding for Major Contracts (continued)

<u>Title and description</u>	<u>2001 estimated program funding (\$)</u> <u>Limitation on Administration</u>
<u>Building services</u>	
Janitorial services Fees to be paid for janitorial services.	440,000
Claim files service Fees to be paid to the Federal Records Center to maintain the RRB claim files.	400,000 <u>a/</u>
Building security Guard service.	276,000
Building maintenance and repairs Costs for interior and exterior painting, carpet and drapery replacement, energy conservation initiatives, facility maintenance, elevator maintenance, and repairs to the building.	712,800
Agency program total	<u>6,076,393</u>

a/ Contractual services provided by Federal government agencies comprise \$1,435,300 of the agency program total of \$6,076,393.

b/ The amounts requested are for advisory and assistance services.

BUDGET AUTHORITY BY FUND

Railroad Retirement Program

	Fiscal Year 1999 Actual Amounts	Fiscal Year 2000 Estimated Amounts	Fiscal Year 2001 Estimated Amounts	Increase or Decrease Amounts
<u>Direct Program:</u>				
Railroad Retirement Account	\$49,794,000	\$49,950,000	\$51,100,000	\$1,150,000
Social Security Equivalent Benefit Account	24,100,000	24,200,000	24,600,000	400,000
Railroad Retirement Supplemental Account	<u>2,000,000</u>	<u>2,000,000</u>	<u>2,000,000</u>	<u>0</u>
Total, direct railroad retirement program obligations	\$75,894,000	\$76,150,000	\$77,700,000	\$1,550,000

Railroad Unemployment and Sickness Insurance Program

<u>Direct program:</u>				
Railroad Unemployment Insurance Administration Fund	<u>13,883,000</u>	<u>14,505,000</u>	<u>14,800,000</u>	<u>295,000</u>
Total, direct retirement, unemployment and sickness insurance obligations	\$89,777,000	\$90,655,000	\$92,500,000	\$1,845,000
Unobligated balance	<u>158,400</u>	<u>—</u>	<u>—</u>	<u>—</u>
Total, direct retirement and unemployment and sickness insurance budget authority	<u>\$89,935,400 a/</u>	<u>\$90,655,000 b/</u>	<u>\$92,500,000</u>	<u>\$1,845,000</u>

a/ Reflects the appropriation of \$90,000,000 to the Limitation on Administration received under P.L. 105-277, less a rescission of \$64,600 required by P.L. 106-51. In addition, the RRB received \$398,000 (available until September 30, 2001) to support the Year 2000 conversion of the Railroad Retirement Board's information technology systems.

b/ Reflects an appropriation of \$91,000,000, less a rescission of \$345,000 required by P.L. 106-113.

LIMITATION ON ADMINISTRATION

Financing

	<u>Fiscal year 1999 actual</u>	<u>Fiscal year 2000 estimate</u>	<u>Fiscal year 2001 estimate</u>
<u>Financing</u>			
Total, direct program obligations	\$89,777,000	\$90,655,000	\$92,500,000
Unobligated balance	<u>158,400</u>	<u>---</u>	<u>---</u>
Limitation	<u>\$89,935,400</u>	<u>\$90,655,000</u>	<u>\$92,500,000</u>

Relation of direct program obligations to outlays

Obligations incurred, net	\$89,777,000	\$90,655,000	\$92,500,000
Obligated balance, start of year	0	8,985,000	11,985,000
Obligated balance, end of year	<u>-8,985,000</u>	<u>-11,985,000</u>	<u>-8,985,000</u>
Outlays from limitation	<u>\$80,792,000</u>	<u>\$87,655,000</u>	<u>\$95,500,000</u>

Note: Funds to administer the Railroad Retirement Act and the Railroad Unemployment Insurance Act are combined into a single administrative account. Funds to administer the Railroad Retirement Act are transferred from the Railroad Retirement Account, the Social Security Equivalent Benefit Account, and the Railroad Retirement Supplemental Account to the Limitation on Administration. Funds required to administer the Railroad Unemployment Insurance Act are transferred from the Railroad Unemployment Insurance Administration Fund to the Limitation on Administration.

The financial operations of the Limitation on Administration can also be expressed in a sources and uses of funds table as shown below.

	<u>Sources and Uses of Funds</u>		
	Fiscal year 1999 <u>actual</u>	Fiscal year 2000 <u>estimate</u>	Fiscal year 2001 <u>estimate</u>
<u>Direct program sources</u>			
Available from benefit trust funds by Congressional appropriation	<u>\$89,935,400</u>	<u>\$90,655,000</u>	<u>\$92,500,000</u>
<u>Direct program uses</u>			
Administrative expenses	\$89,777,000	\$90,655,000	\$92,500,000
Unobligated balance	<u>158,400</u>	<u>---</u>	<u>---</u>
Total uses:	<u>\$89,935,400</u>	<u>\$90,566,000</u>	<u>\$92,500,000</u>

Limitation on Administration

Amounts Available for Obligation

	Fiscal year 1999 <u>actual</u>	Fiscal year 2000 <u>estimate</u>	Fiscal year 2001 <u>estimate</u>
Appropriation	\$90,000,000	\$91,000,000	\$92,500,000
Less:			
Amount rescinded in accordance with Public Law 106-51.	(64,600)		
Amount rescinded in accordance with Public Law 106-113.		(345,000)	
Subtotal, adjusted appropriation	\$89,935,400	\$90,655,000	
Less:			
Unobligated balance	<u>158,400</u>	<u>0</u>	<u>0</u>
Total direct obligations <u>a/</u>	<u>\$89,777,000</u>	<u>\$90,655,000</u>	<u>\$92,500,000</u>

a/ In addition, the RRB expects to be reimbursed about \$4.055 million for fiscal year 1999, \$3.8 million for fiscal year 2000, and \$3.8 million for fiscal year 2001 by the Health Care Financing Administration (HCFA) to conduct Medicare activities. The final reimbursement amount for fiscal year 1999 was still pending agreement with HCFA as of the date of this document. The RRB also expects to receive approximately \$456,000 in other reimbursements in fiscal year 1999, and an additional \$487,000 in other reimbursements in fiscal years 2000 and 2001. For fiscal year 1999, Public Law 105-277 provided an additional \$398,000 (available until September 30, 2001) to support the Year 2000 conversion of the Railroad Retirement Board's information technology systems.

SUMMARY OF CHANGES 1/

FY 2000 appropriation.....	\$90,655,000
FY 2001 estimate	\$92,500,000
Net change.....	\$ 1,845,000

	<u>2000 Current (Base)</u>		<u>2001 Change from Base</u>	
	<u>FTE's</u>	<u>Budget authority</u>	<u>FTE's</u>	<u>Budget authority</u>
<u>Increases:</u>				
A. <u>Built-in:</u>				
1. Net change related to full-time and part-time salaries: loss of FTE's, -\$1,400,000; annualization of January 2000 pay raise, +\$780,000; January 2001 pay raise, +\$1,751,000; grade/step increases, +\$1,073,000; and other personnel compensation (awards and overtime), -\$62,000.	1,136	\$60,023,000	-24	\$2,142,000
2. Net change related to personnel benefits: loss of FTE's, -\$297,000; annualization of January 2000 pay raise, +\$166,000; January 2001 pay raise, +\$371,000; grade/step increases, +\$245,000; other changes in benefit costs, +\$215,000.	—	\$12,343,000	—	<u>700,000</u>
Subtotal, built-in compensation increases				<u>\$2,842,000</u>
B. <u>Program:</u>				
1. Travel and transportation of persons	—	\$ 500,000	—	\$ 150,000
2. Rental payments to GSA	—	2,890,000	—	303,000
3. Printing and reproduction	—	272,000	—	28,000
4. Consulting services	—	490,000	—	23,000
5. Other services - includes contracts, maintenance, and training costs	—	6,875,000	—	485,000
6. Supplies	—	741,000	—	<u>59,000</u>
Subtotal, program increases				<u>\$1,048,000</u>
Total increases				<u>\$3,890,000</u>
<u>Decreases:</u>				
C. <u>Program:</u>				
1. Voluntary separation incentive payments for employees	—	\$ 1,640,000	—	\$(1,640,000)
2. Transportation of things	—	138,000	—	(10,000)
3. Communications, utilities and miscellaneous charges	—	3,558,000	—	(122,000)
4. Equipment	—	885,000	—	<u>(273,000)</u>
Total decreases				<u>\$(2,045,000)</u>
Net change			<u>-24</u>	<u>\$ 1,845,000</u>

1/ Corresponds to page 12 - Administrative Expenses

APPROPRIATIONS HISTORY TABLE

<u>Year</u>	<u>Budget estimate to the Congress</u>	<u>House allowance</u>	<u>Senate allowance</u>	<u>Appropriation/ continuing resolution</u>
1992	\$91,300,000	\$91,300,000	\$89,644,000 <u>a/</u>	\$89,550,000
1993	\$94,600,000	\$92,516,000 <u>b/</u>	\$91,824,000 <u>c/</u>	\$91,729,000 <u>d/</u>
1994	\$90,801,000	\$90,801,000	\$90,801,000	\$90,801,000
1995	\$92,460,000	\$90,912,000	\$90,912,000	\$90,816,000 <u>e/</u>
1996	\$92,700,000	\$90,816,000	\$89,094,000	\$89,692,000 <u>f/</u>
1997	\$90,558,000	\$87,898,000	\$87,898,000	\$87,728,000 <u>g/</u>
1998	\$88,800,000	\$85,728,000	\$87,728,000	\$87,228,000 <u>h/</u>
1999	\$86,000,000	\$86,000,000	\$90,000,000	\$89,935,400 <u>i/</u>
2000	\$86,500,000	\$90,000,000	\$91,000,000	\$90,655,000 <u>j/</u>
2001	\$92,500,000			

a/ Represents the Senate allowance of \$90,550,000 less a 1 percent reduction (\$906,000) called for in the Senate bill.

b/ Represents the House allowance of \$92,565,000 less a 4 percent reduction (\$49,000) in travel expenses called for in the House bill.

c/ Represents the Senate allowance of \$92,565,000 less a 0.8 percent across-the-bill reduction of \$741,000.

d/ Represents a final allowance of \$91,824,000 less an 8 percent reduction (\$95,000) in the amount budgeted for consulting services.

e/ Amount reflects appropriations received under Public Law (P.L.) 103-333 of \$90,912,000 less the rescission totaling \$96,000 under P.L. 104-19.

f/ Amount reflects funding made available under P.L. 104-134, after a rescission and cancellation of budget authority totaling \$263,000.

g/ Amount reflects funding made available under P.L. 104-208, less a rescission and cancellation of budget authority totaling \$170,000.

h/ Amount reflects funding made available under P.L. 105-78.

i/ Amount reflects the appropriation of \$90,000,000 made available under P.L. 105-277, less a rescission of \$64,600 required by P.L. 106-51.

j/ Amount reflects funding made available under P.L. 106-113, after a rescission of \$345,000.

Strategic Goals

The RRB's budget request for ongoing operations is distributed among four strategic goals stated in the agency's strategic plan:

- I. To provide excellent customer service,
- II. To safeguard our customers' trust funds through prudent stewardship,
- III. To align resources to effectively and efficiently meet our mission, and
- IV. To expand our use of technology and automation to achieve our mission.

These goals are discussed in detail in the RRB's Annual Performance Plan for Fiscal Year 2001, beginning on page 27. Dollar amounts are shown for each strategic goal representing the resources needed to achieve the performance goals. As a lead-in to the plan, the tables on the next page provide the summary workloads for the retirement/survivor and unemployment/sickness insurance programs.

Retirement/Survivor Benefit Program
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Average number of annuitants</u>	<u>New applications</u>	<u>Reactivated applications</u>	<u>Number of payments</u>	<u>Supplemental annuities awarded</u>	<u>Social security awards</u>	<u>Medicare enrollments</u>
1990	894,196	61,622	52,486	11,288,980	11,390	9,061	7,706
1991	880,426	57,687	52,586	11,085,204	11,086	8,075	8,977
1992	862,904	55,889	58,089	10,698,014	11,197	7,956	8,337
1993	843,204	50,854	65,220	10,627,914	8,752	7,353	7,815
1994	819,931	48,241	62,584	10,339,329	8,026	6,951	6,158
1995	799,158	44,838	56,535	10,038,332	7,681	6,221	5,815
1996	775,387	41,756	52,731	9,890,682	7,256	5,440	5,757
1997	751,558	41,126	50,458	9,447,929	6,942	5,980	5,309
1998	727,603	38,970	47,544	9,166,205	7,077	5,995	6,242
1999	704,159	38,413	47,265	8,886,055	6,719	6,108	5,890 (est)
2000 (est)	684,100	39,000	48,000	8,600,000	7,000	6,000	6,000
2001 (est)	662,800	38,000	47,000	8,400,000	7,000	6,000	6,000

Railroad Unemployment/Sickness Insurance Program
Summary Processing Workload Table

<u>Fiscal years</u>	<u>Railroad employment 1/</u>	<u>Unemployment insurance</u>			<u>Sickness insurance</u>		
		<u>Applications</u>	<u>Claims</u>	<u>Payments</u>	<u>Applications</u>	<u>Claims</u>	<u>Payments</u>
1990	296,000	42,135	300,351	234,136	41,141	269,926	204,305
1991	285,000	41,169	313,004	248,799	35,588	249,000	190,564
1992	276,000	29,388	270,198	231,816	32,482	226,357	181,720
1993	271,000	25,420	206,509	175,812	31,622	201,977	165,908
1994	266,000	23,989	190,950	159,879	30,355	205,528	170,918
1995	265,000	21,995	147,378	120,870	28,955	193,483	160,906
1996	257,000	21,143	162,434	135,863	27,291	192,630	162,130
1997	253,000	17,121	120,136	99,042	27,670	180,962	155,358
1998	256,000	13,253	82,103	67,759	26,317	170,336	146,659
1999	255,000 (est)	16,261	99,870	81,990	26,267	170,305	145,853
2000 (est)	249,000	16,000	104,000	85,000	28,000	176,000	151,000
2001 (est)	244,000	16,000	106,000	87,000	28,000	179,000	153,000

1/ Average annual railroad employment is based on mid-month counts and presented on a calendar year basis.

PAGE 26 IS BLANK